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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No

PU010127

First Inventor

HARRY ROBERT SWANK ET AL

Title

TENSION BAND WITH TENSION ADJUSTING FEATURES

Express Mail Label No.

EL651120689US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 6]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 1]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other. \_\_\_\_\_

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_ / \_\_\_\_

Prior application information

Examiner \_\_\_\_\_

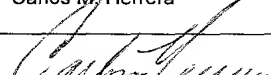
Group / Art Unit \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Joseph S. Tripoli				
	THOMSON multimedia Licensing Inc.				
Address	Patent Operations				
	Two Independence Way, Post Office Box 5312				
City	Princeton	State	NJ	Zip Code	08540-5312
Country	U.S.A.	Telephone	609-734-9443	Fax	609-734-9700

Name (Print/Type)	Carlos M. Herrera	Registration No. (Attorney/Agent)	44,762
Signature		Date	1/13/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) 750

Application Number	
Filing Date	
First Named Inventor	Harry Robert Swank et al
Examiner Name	
Group / Art Unit	
Attorney Docket No	PU010127

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to		3. ADDITIONAL FEES	
Deposit Account Number: 07-0832		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
Deposit Account Name: THOMSON multimedia Licensing Inc		Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		105 130 205 65	Surcharge - late filing fee or oath
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		127 50 227 25	Surcharge - late provisional filing fee or cover sheet
2. <input type="checkbox"/> Payment Enclosed		139 130 139 130	Non-English specification
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		147 2,520 147 2,520	For filing a request for reexamination
FEE CALCULATION		112 920* 112 920*	Requesting publication of SIR prior to Examiner action
1. BASIC FILING FEE		113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	115 110 215 55	Extension for reply within first month
Fee Description	Fee Paid	116 390 216 195	Extension for reply within second month
101 710 201 355	Utility filing fee	117 890 217 445	Extension for reply within third month
106 320 206 160	Design filing fee	118 1,390 218 695	Extension for reply within fourth month
107 490 207 245	Plant filing fee	128 1,890 228 945	Extension for reply within fifth month
108 710 208 355	Reissue filing fee	119 310 219 155	Notice of Appeal
114 150 214 75	Provisional filing fee	120 310 220 155	Filing a brief in support of an appeal
SUBTOTAL (1) (\$ 710)		121 270 221 135	Request for oral hearing
2. EXTRA CLAIM FEES		138 1,510 138 1,510	Petition to institute a public use proceeding
Total Claims 7 -20 = 0	Extra Claims Fee from below X 0 = 0	140 110 240 55	Petition to revive - unavoidable
Independent Claims 2 -3 = 0	Extra Claims Fee from below X 0 = 0	141 1,240 241 620	Petition to revive - unintentional
Multiple Dependent 0	Extra Claims Fee from below X 0 = 0	142 1,240 242 620	Utility issue fee (or reissue)
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	143 440 243 220	Design issue fee
Fee Description	Fee Paid	144 600 244 300	Plant issue fee
103 18 203 9	Claims in excess of 20	122 130 122 130	Petitions to the Commissioner
102 80 202 40	Independent claims in excess of 3	123 130 123 130	Petitions related to provisional applications
104 270 204 135	Multiple dependent claim, if not paid	126 180 126 180	Submission of information Disclosure Stmt
109 80 209 40	** Reissue independent claims over original patent	581 40 581 40	Recording each patent assignment per property (times number of properties)
110 18 210 9	** Reissue claims in excess of 20 and over original patent	146 710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))
SUBTOTAL (2) (\$ 0)		149 710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))
*or number previously paid, if greater. For Reissues, see above		179 710 279 355	Request for Continued Examination (RCE)
		169 900 169 900	Request for expedited examination of a design application
		Other fee (specify) _____	
		*Reduced by Basic Filing Fee Paid	SUBTOTAL (3) (\$ 40)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Carlos M. Herrera	Registration No. Attorney/Agent	44,762	Telephone	717 295 6561
Signature		Date	7/13/01		

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